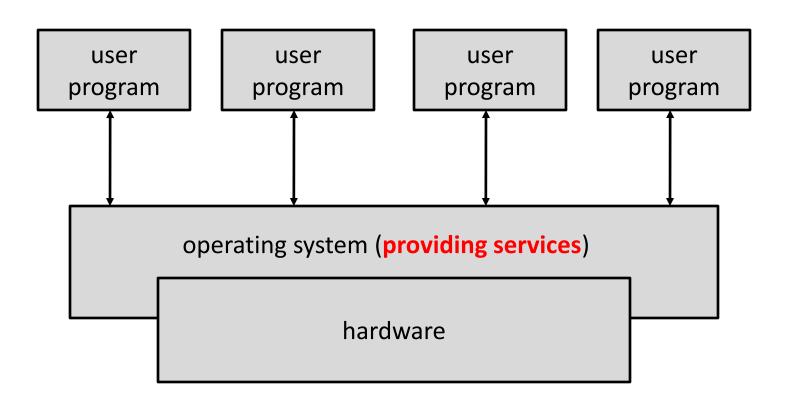
# Operating systems (OS)



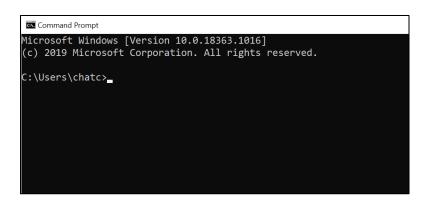
This can be done by trap routine.

## Operating-system services

- 1. User interface
- 2. Program execution
- 3. I/O operations
- 4. File-system manipulation
- 5. Communication (เช่น copy & paste, drag & drop หรือสื่อสารข้ามเครื่อง)
- 6. Error detection
- 7. Resource allocation
- 8. Accounting (ระบบ user / group)
- 9. Protection and security (privilege / hacker)

### 1. User interface

- Command-line interface (CLI)
  - DOS
  - Unix / Linux
- Graphical user interface (GUI)
  - Windows
  - Mac OS
  - Unix / Linux
    - X-Windows systems
    - K Desktop Environment (KDE)
    - GNOME desktop





### 2. Program execution

The program must be able to end its execution, either normally or abnormally (indicating error).

### **Examples**

- hardware resource running low (CPU, memory, and battery).
- I/O error (fail to read or write I/O devices, parity error).
- a connection failure on a network.
- a lack of papers in the printer.
- arithmetic overflow.
- dangling pointer (read/write a memory block with no ownership).

Genuine OS is supposed to be <u>responsive</u> and handle common errors.

### 3. I/O operations

For efficiency and protection, users usually <u>cannot control I/O devices</u> <u>directly</u>. Therefore, the operating system must provide a means to do I/O.

### **Efficiency**

- OS services are written by professionals (and optimized for speed).
- User programs are small (เรียกใช้ device driver).
- User programs are portable (ย้ายไปใช้ hardware ยี่ห้อ/รุ่นอื่น ๆ ได้).
- OS can manage concurrency access and cache.

**Device drivers** 

### **Protection**

- Users must have permission to access I/O devices.
- User programs can deteriorate some I/O devices.

### 4. File-system manipulation

File-system services are create/delete /rename/search files and folders. The rationale for file-system services is similar to that of I/O operations.

### 5. Communications

There are many circumstances in which one process needs to exchange information with another process if a copy & paste, drag & drop

### **Example**

A running database program has to exchange information with several programs that may be on the same computer or may be not.

### 6. Error detection

See example in Program execution.

### 7. Resource allocation

When there are multiple users or multiple jobs running at the same time, resources must be allocated to each of them.

### Resources

- CPU
- Memory
- File storage
- Printer & modem
- Other peripheral devices

### 8. Accounting

We want to keep track of which users how much and what kinds of computer resources. This record keeping may be used for accounting (so that users can be billed) or simply for accumulating usage statistics. Usage statistics may be a valuable tool for researchers who wish to reconfigure the system to improve computing service.

### 9. Protection and security

Protection involves ensuring that all access to system resources is controlled. It should not be possible for one process to interfere with the others or with the operating system itself. In memory protection

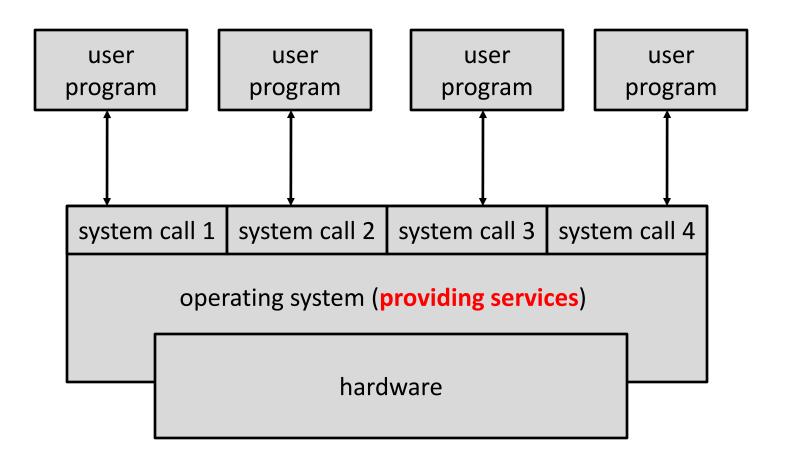
Security starts with requiring each user to authenticate himself or herself to the system, usually by means of a password, to gain access the system resources. It extended to defending external I/O devices, including modems and network adapters, from invalid access attempts and to recording all such connections for detection of break-ins.

Authentication
Authorization

การพิสูจน์ตัวจริง การอนุญาต, การให้อำนาจ

# System calls (or trap routine)

User programs cannot control resources directly.



> myprog.exe

> Enter source file: myfile1.dat

> Enter destination file: myfile2.dat

1. Read the first argument

An example: copying a file

Print to screen system call

Read keyboard system call

2. Read the second argument

Print to screen system call

Read keyboard system call

3. Open the input file system call

**Error: file is not found** 

4. Create output file system call

**Error: file exists** 

5. Loop

Read system call

**Error: hardware failure (parity error)** 

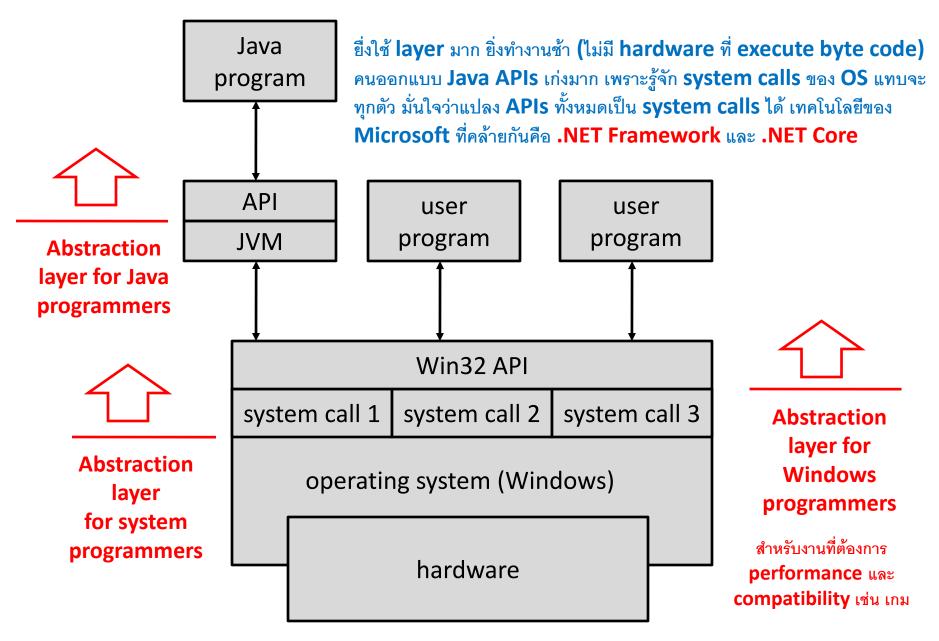
Write system call

Error: no more disk space

1. Close input file system call

2. Close output file system call

### System call ≠ Application programming interface (API)



### An example of Win32 API

```
BOOL WINAPI ReadFile(
    __in HANDLE hFile,
    __out LPVOID lpBuffer,
    __in DWORD nNumberOfBytesToRead,
    __out_opt LPDWORD lpNumberOfBytesRead,
    __inout_opt LPOVERLAPPED lpOverlapped
);
```

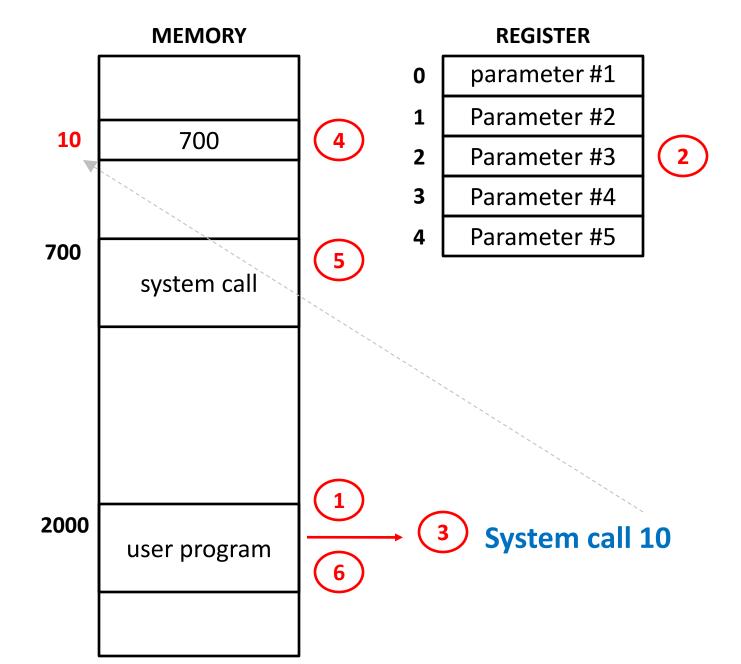
Ref: <a href="http://msdn.microsoft.com/en-us/library/aa365467(VS.85).aspx">http://msdn.microsoft.com/en-us/library/aa365467(VS.85).aspx</a>

Inside ReadFile() involves several system calls which is specific to OS.

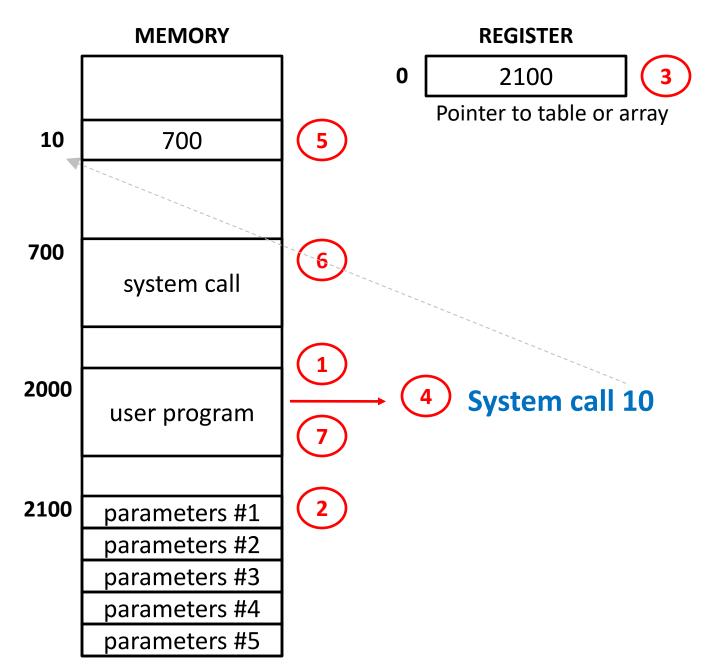
The executable code compiled using Win32 API are compatible with

Windows OS (Windows 98, Windows 2000, Windows XP, etc).

### System call: passing of parameters as registers (LC3 processor)



### System call: passing of parameters as a table (LC3 processor)



## Types of system calls

### 1. Process control

end, abort, load, execute, create/terminate process, wait for time, wait event, signal event, allocate and free memory, get/set process attributes, lock & release

### 2. File management

create file, delete file, open, close, read, write, reposition, get/set file attributes

### 3. Device management

request device, release device, read, write reposition, get/set device attributes, logically attach/detach devices

### 4. Information maintenance

get/set time/date, get/set system data, dump, single step get/set process, file, or device attributes

### 5. Communications

create, delete communication connection, send/receive messages, transfer status information, attach/detach remote devices, message-passing, shared-memory model

### 6. Protection

set/get permission, multi-user to networking environment



Richard Stallman ให้กำเนิด Free Software Foundation (FSF)



GNU Project กนู



Linus Torvalds ลีนึส ตูร์วัลดส์

Compiler / Debugger / Editor

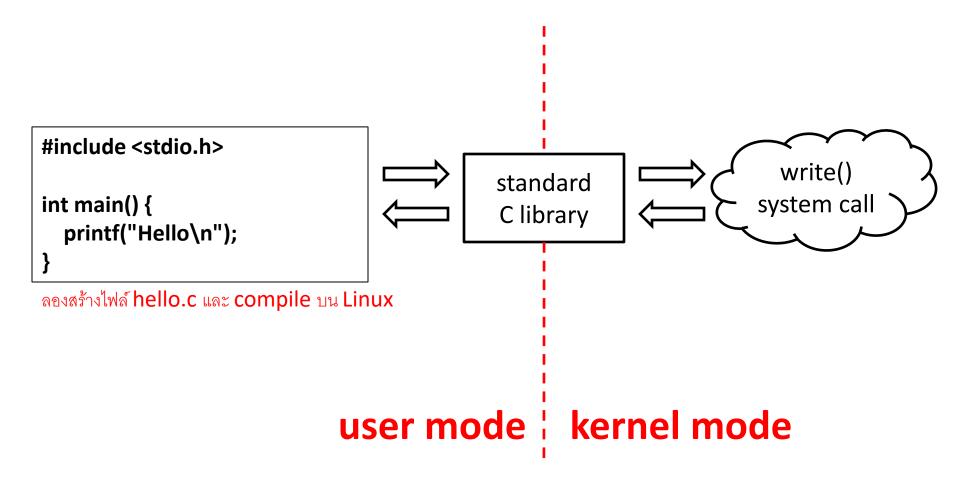
รันบน Unix ที่มีอยู่ในขณะนั้น บริษัทต่าง ๆ มี Unix ของตัวเอง

Linux kernel

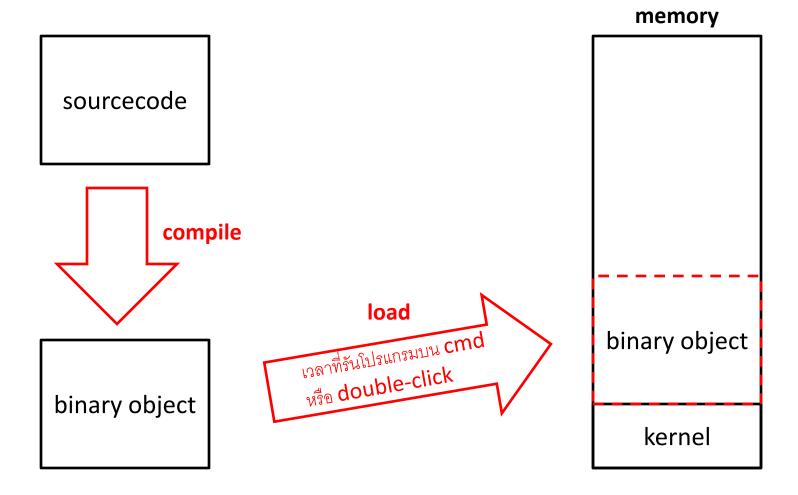
เกิด Linux distribution ต่าง ๆ ขึ้นมากมาย

GNU = GNU's Not Unix

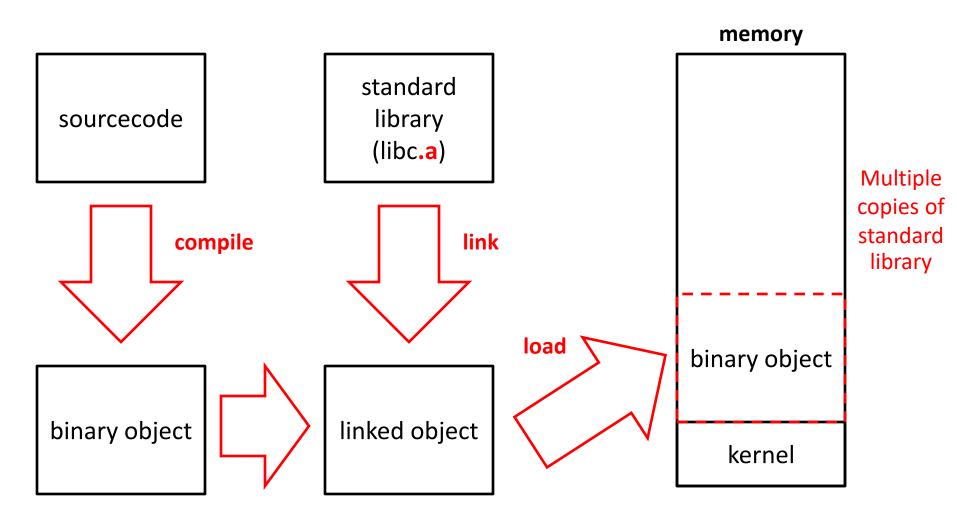
# Standard C library



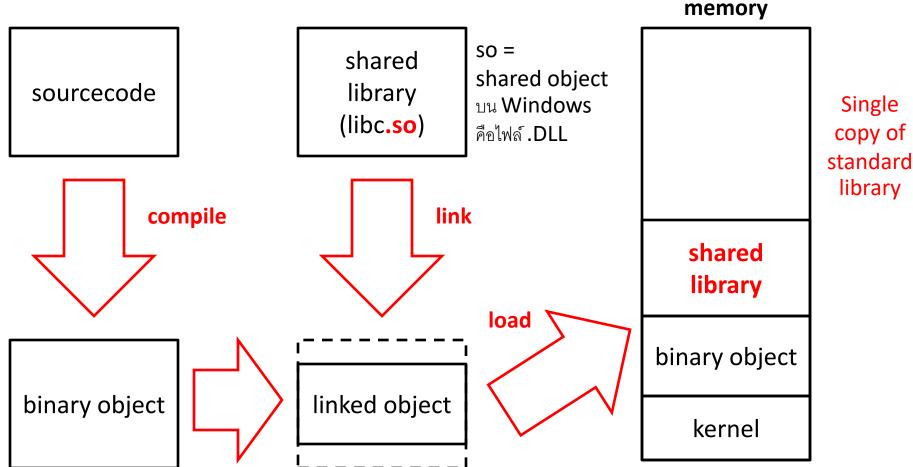
## Loaders

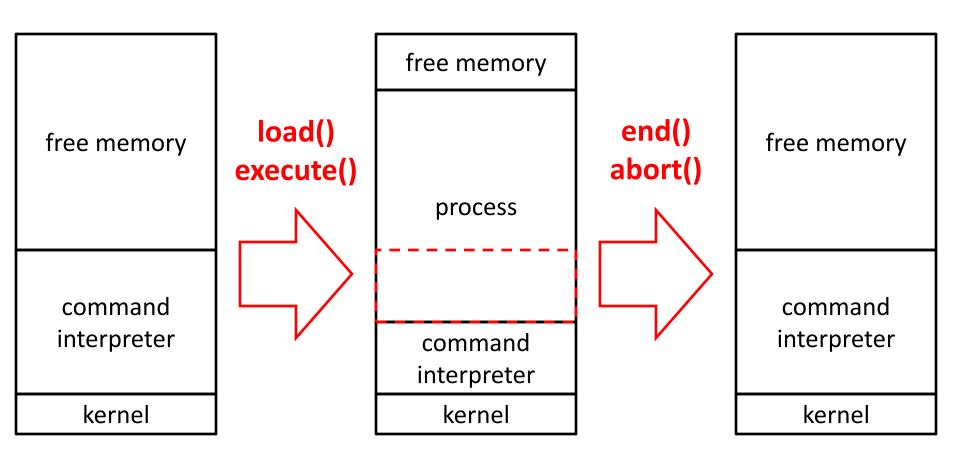


# Linkers (static)



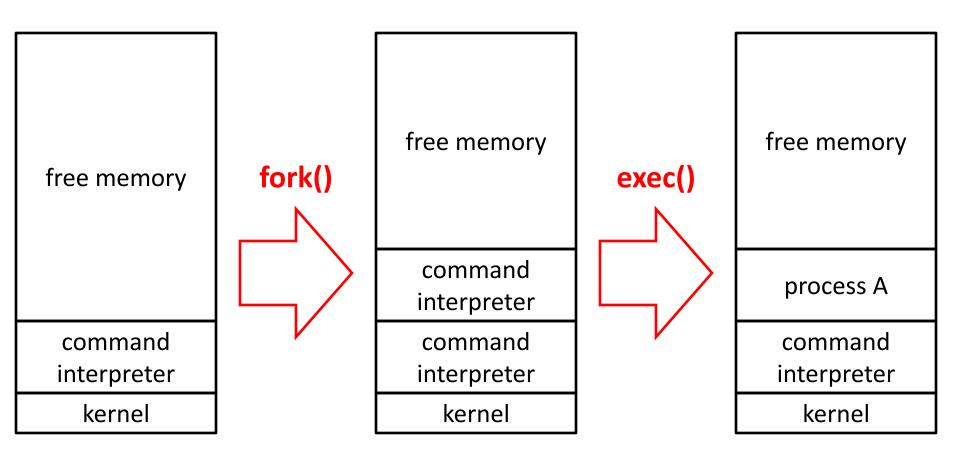
# Linkers (dynamic)





MS-DOS

A portion of command interpreter is unloaded from memory.



Free BSD fork() and exec() are system calls for creating a process.

## System programs

- 1. File management create, delete, copy, rename, etc.
- 2. Status information date/time, cpu/memory/disk usage
- 3. File modification text editors (nano, notepad)
- 4. Programming-language support compilers, assemblers, and debuggers (gdb)
- 5. Program loading and execution Linkers and loaders, and debuggers
- 6. Communications connection among processes, users, computer systems browse web pages, send e-mail, remote login, transfer file

## Operating-system design & implementation

### 1. Design Goals

User

convenient to use, easy to learn and to use, reliable, safe, fast

**System** 

easy to design, implement, and maintain, flexible, reliable, error free (server, desktop, real-time, embedded systems)

### Mechanisms vs. Policies

Mechanism determines how to do something

Policy determines what to be done

Mechanism - Policy อื่น ๆ เช่น

Password หรือ Fingerprint - Authentication

X-window - K Desktop Environment (KDE) หรือ GNOME

Cooperative/Preemptive (Timer Interrupt) - CPU scheduler ասո Time Sharing

### 3. Implementation

Assembly (MS-DOS), C/C++ (Unix/Linux)

## **Operating-system structure**

### 1. Simple structure

MS-DOS, Unix (monolithic structure), see Figure 2.13

### 2. Layer approach

pros and cons, see Figure 2.14

### 3. Microkernels

Mach (mid-1980), see Figure 2.15

Remove all non-essential components from the kernel

Microkernels provides minimal process, memory management, and communication facility (message passing).

**Performance decrease (first-release Windows NT)** 

### 4. Modules

Core kernel + loadable kernels

Any module can call any other module (no message passing).

Apple Mac OS X = Mach + BSD (see Figure 2.16)

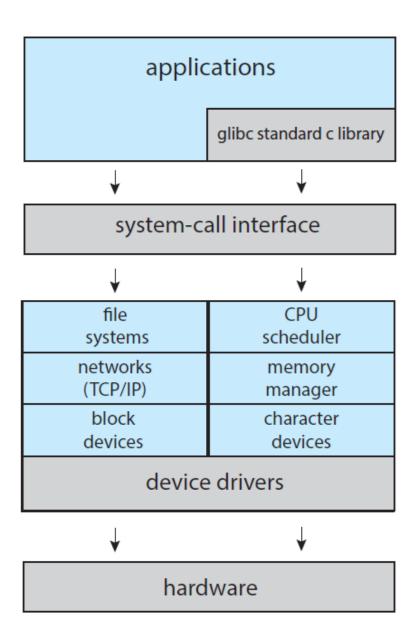


Figure 2.13 Linux system structure.

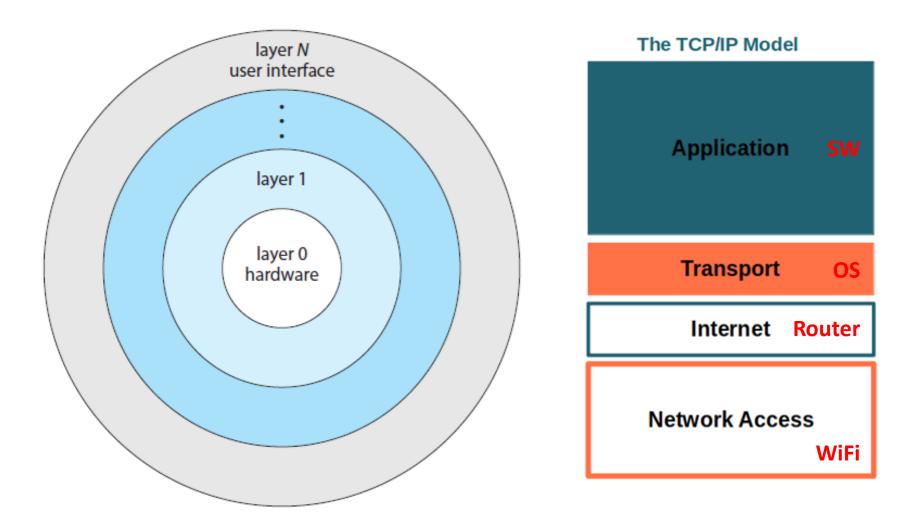


Figure 2.14 A layered operating system.

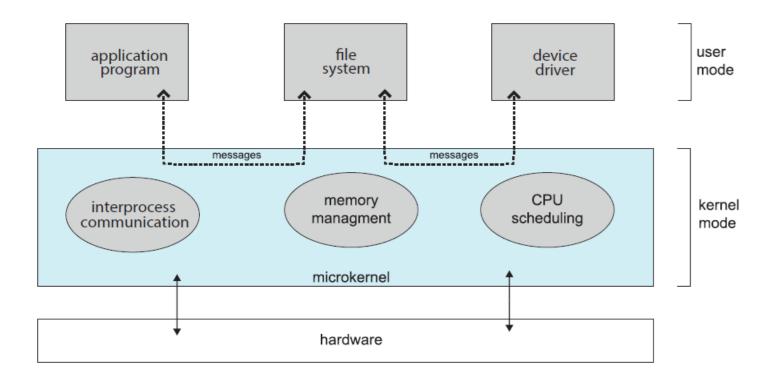


Figure 2.15 Architecture of a typical microkernel.

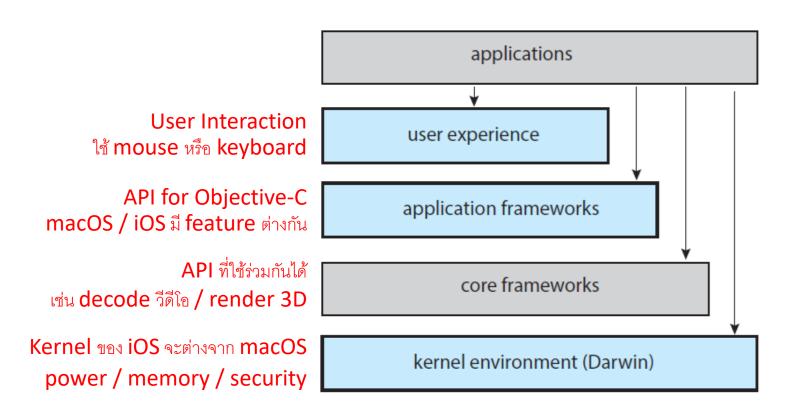
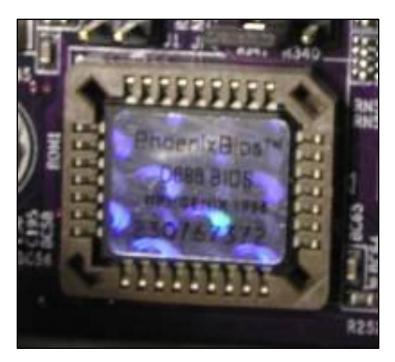


Figure 2.16 Architecture of Apple's macOS and iOS operating systems.

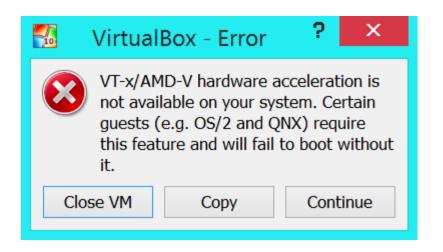
# System boot

- 1. โปรแกรมแรก เรียกว่า Bootstrap program
- 2. Basic input/output system (BIOS) เป็น firmware ตัวหนึ่ง P = Programmable
- 3. บรรจุอยู่ใน Read-only memory (ROM) หรือ EPROM หรือ EEPROM E = Erasable E = Electrical
- 4. BIOS จะตรวจเช็คอุปกรณ์ และหา OS ใน boot block ที่อยู่ใน disk
- 5. GRUB เป็น boot loader ในกรณีที่ติดตั้ง OS ไว้มากกว่า 1 ตัว BIOS จะโหลด GRUB ก่อน จากนั้น GRUB จะแสดงเมนูให้ผู้ใช้เลือกว่าจะ boot OS ตัวใด แต่ต้องเลือกใช้ทีละหนึ่งตัว ปัจจุบันนิยมใช้ vm รัน guest OS ได้หลายตัวพร้อมกัน สลับไปมาระหว่าง host/guest OS ได้ทันที

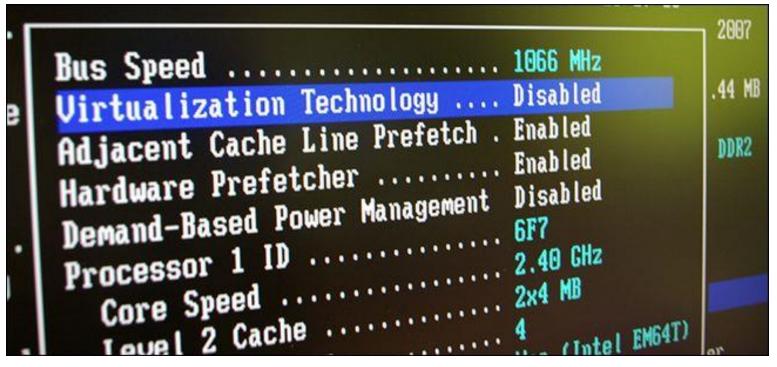


เฟิร์มแวร์ (firmware) ในระบบคอมพิวเตอร์ คือซอฟต์แวร์ที่ฝังอยู่ในฮาร์ดแวร์ โดยที่ผู้ใช้จะสามารถอ่าน และเรียกใช้งานเฟิร์มแวร์ได้ แต่ไม่สามารถแก้ไข เขียน หรือ ลบเฟิร์มแวร์ได้

Main	Adva	nced	Phoen Secur i		Setup l Boot	Jtility Exit		
Sunta	Time			ran.	. 21 . 201		Item S	pecific Help
System Time: System Date:					21:30] (02/2016]	ı	<tab>, <shift-tab>, or <enter> selects field.</enter></shift-tab></tab>	
Legacy Diskette A: Legacy Diskette B:					14/1.25 N sabled]	1B 3½"]		
<ul> <li>▶ Primary Master</li> <li>▶ Primary Slave</li> <li>▶ Secondary Master</li> <li>▶ Secondary Slave</li> </ul>				ENor ENor ECD- ENor	ne] -ROM]			
► Keyboard Features  System Memory: 640 KB Extended Memory: 2096128 KB Boot-time Diagnostic Screen: [Enabled]								
F1 Hel	-	Select Select		-/+ Enter	•	Values ▶ Sub-M		Setup Defaults Save and Exit



เฉพาะ Windows เท่านั้น Mac ไม่มี



## Advanced options



### System Restore

Use a restore point recorded on your PC to restore Windows



### Command Prompt

Use the Command Prompt for advanced troubleshooting



### System Image Recovery

Recover Windows using a specific system image file



### **UEFI Firmware Settings**

Change settings in your PC's UEFI firmware



### Startup Repair

Fix problems that keep Windows from loading



### Startup Settings

Change Windows startup behavior

UEFI is the abbreviation of Unified Extensible Firmware Interface, which is a firmware interface for computers and it works as a "middleman" to connect a computer's firmware to its operating system. It is used to initialize the hardware components and start the operating system stored on the hard disk drive when the computer starts up.

UEFI possesses many new features and advantages that cannot be achieved through the traditional BIOS and it is aimed to completely replace the BIOS in the future.

UEFI stores all the information about initialization and startup in a .efi file, a file stored on a special partition called EFI System Partition (ESP). The ESP partition will also contain the boot loader programs for the operating system installed on the computer.

It is because of this partition, UEFI can directly boot the operating system and save the BIOS self-test process, which is an important reason for UEFI faster booting.

<u>สรุป</u> OS no Power-On Self Test (POST) เร็วกว่า BIOS เพราะ โปรแกรม BIOS เล็ก และเขียนตับต้อนมาก ไม่ได้ เช่น รันโหมด 16bit ld memory ld แค่ 1 MB มันจะค่อย ๆ เช็คอุปกรณ์ฮาร์ดแวร์ ไปที่ละตัว ในขณะที่ OS สามารถเช็ค hw พร้คม กันที่ละหลาย ๆ ตัวได้ เข็นต้น

BIOS ไม่สามารถ boot OS จากไดรฟ์ที่ ใหญ่กว่า 2.1 TB ได้ ใกล้จะชนขีดจำกัดแล้ว

Windows 11 ใช้ UFFI เท่านั้น

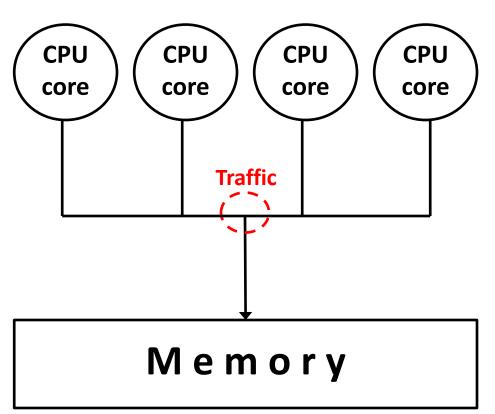


The UEFI implementation is usually stored on a NOR-based flash memory<sup>[1][2][3]</sup> that is located on the mainboard. They can use different I/O protocols, but SPI is the most common.

### มี device driver เป็น byte code ที่รันบน processor ใดก็ได้ เพื่อให้ใช้ network, graphics ได้ ก่อนที่จะโหลด OS

	BIOS	UEFI	
Bootstrap program	ROM	Flash memory	
Boot loader	Master Boot Record (MBR)	EFI system partition (ESP)	
Mode	16 บิต (ใช้ mem ได้ 1 MB)	32/64 บิต	
Pre-OS environment	-	Network, GUI, multilanguage	
Programming Lang.	Assembly	C, Python	
Windows product key	OS disk ผู้ใช้ป้อน key เพื่อ activate	UEFI firmware ผู้ผลิต PC ใส่ key มาให้เลย	

## **Memory Bottleneck**





## **Non-Uniform Memory Access (NUMA)**

